TruStage™

TruStage™ Wealth Management Solutions

About us

TruStage™ Wealth Management Solutions is designed to help grow your wealth management business by empowering the most critical growth asset—registered representatives—to provide a better member experience. Our offerings are meant to simplify and streamline your investment services to support your credit union's growth while prioritizing the recruitment and development of registered representatives located in your credit union.

Our focus is to provide you and the registered representatives located in your credit union with clear actions for growth through tailored industry insights and data, making it easier to engage and identify your next best member.

We continue to build on our historical success while constantly adapting to an ever-changing world, developing new approaches to help credit unions position themselves for success.

To help stay ahead of changing member needs, TruStage Wealth Management Solutions has a strategic alliance with industry leader LPL Financial. This alliance perfectly aligns with our overall corporate strategy of building or partnering to best help more consumers make financial decisions that work for them.

Credit unions and registered representatives benefit by leveraging our combined strengths:

- Credit union expertise
- Strategic consulting
- Training and support
- Enhanced tools
- State-of-the-art advisory platform

The mission of TruStage Wealth Management Solutions is to empower more credit unions to serve members with personalized guidance that can help them fulfill their important life goals. We are committed to achieving this by creating a great experience for credit unions, registered representatives and members for the purpose of accelerating investment program growth.

Insurance | Investments | Technology

TruStage™ Wealth Management Solutions and LPL Financial due diligence

TruStage™ Wealth Management Solutions and LPL strategic alliance
TruStage Wealth Management Solutions collaborates with LPL Financial (LPL) to provide digital solutions and back-office services. We take security related to external strategic partnerships seriously and conduct regular reviews of their technology security practices.

LPL Financial due diligence/SOC reports

Existing credit unions with financial services programs

LPL Financial Due Diligence Package

LPL's Due Diligence Package can be downloaded directly from ClientWorks:

- Access the ClientWorks Resource Center Link (requires log in*)
- Search "LPL Due Diligence Package"

LPL Financial SOC reports

Instructions for requesting the LPL SOC report are included in LPL's Due Diligence Package. Refer to section B.2

*For existing credit unions that don't have access to ClientWorks, follow the instructions below for new/prospective customers.

Not Insured by NCUA or Any Other Government Agency	Not Credit Union Guaranteed	Not Credit Union Deposits or Obligations	May Lose Value

TruStage™

New/prospective credit union financial services programs

LPL Financial Due Diligence Package and/or LPL Financial SOC report

Request LPL's Due Diligence Package and/or SOC report by emailing TechnologyDueDiligence@lplfinancial.com. An NDA may need to be signed before the information is provided. Include the following information in the email:

- Subject: Requesting LPL Due Diligence Package or Requesting LPL SOC 1 report
- Credit Union Name
- Who is requesting the report and why

TruStage Wealth Management Solutions

As a reminder, TruStage Wealth Management Solutions due diligence information is available from TruStage's Due Diligence Center.

- TruStage Wealth Management Solutions due diligence information, e.g., security and privacy practices, business continuity, etc., is included in the TruStage Basic Package.
- TruStage Wealth Management Solutions SOC reports: CBSI does not have a SOC 1 report. A SOC 2 report for TruStage Wealth Management Solutions can be requested by clicking on SOC reports in the Due Diligence Center. Complete the requested information. You will be required to accept an online NDA. Once submitted, the requested SOC report(s) will be provided within 3 business days.